IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit 3693

Examiner: Sara M. Chandler

Application of: Lawrence P. Shields et al.

Filed: : November 2, 2001

Serial No. : 10/003,613

Title : SYSTEM AND METHOD FOR

IMPLEMENTING EMPLOYEE

STOCK PLANS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

AMENDMENT

In response to the Office Action dated July 12, 2007, please amend the aboveidentified application as follows:

Listing of the Claims begins on page 2 of this paper.

Remarks begin on page 19 of this paper.